

3622/8  
JFE

Docket No.: 6000-005-52

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Denis KHOO, et al.

Serial No.: 09/849,495

Group Art Unit: 3622

Filed: May 4, 2001

Examiner: Le, Khanh

For: METHOD AND SYSTEM FOR PROVIDING CONTENT WITH AN OPTION

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

January 28, 2005

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- ☒ Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- ☒ Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

02/01/2005 MMEKONEN 00000054 09849495

01 FC:1806

180.00 DP

## BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- ☐ without fee and within three months of the filing date of the application.
- ☐ without fee and within three months of the date of entry of the U.S. national stage.
- ☐ without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- ☐ without fee and with the appropriate certification above.
- ☐ without fee and with a new CPA application.
- ☐ without fee and with a Request for Continued Examination.
- ☒ with fee and before the mailing date of any Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- ☐ with fee, appropriate certification above, and before payment of the Issue Fee.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-3266.

## **Initialed Forms Requested**

**It is respectfully requested that the examiner return a copy of the attached PTO-1449 forms, one of which was filed on October 31, 2002, and one of which was filed on March 15, 2004, both of which have not yet been initialed or appropriately marked as indicating consideration of the cited materials.**

Respectfully submitted,

PIPER RUDNICK LLP

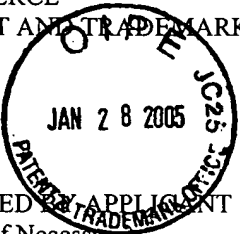


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Tel. (703) 773-4000  
Fax (703) 773-5000  
Date: January 26, 2005

Dale S. Lazar  
Reg. No. 28,872  
Attorney of Record

FORM PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NO.  6000-005-52		SERIAL NO.  09/849,495	
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)				APPLICANT  Denis KHOO, et al.			
				FILING DATE  May 4, 2001		GROUP ART UNIT  3622	




U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	2001/0021994	Nash				
	AB	2002/0083444	Blasko				
	AC	2002/0144153	Levine				
	AD	RE 36,801	Logan				
	AE	4,745,549	Hashimoto				
	AF	5,075,771	Hashimoto				
	AG	5,371,551	Logan				
	AH	5,446,919	Wilkins				
	AI	5,483,472	Overman				
	AJ	5,508,731	Kohorn				
	AK	5,572,442	Schulhof				
	AL	5,600,364	Hendricks				
	AM	5,604,542	Dedrick				
	AN	5,610,653	Abecassis				
	AO	5,616,876	Cluts				
	AP	5,640,002	Ruppert				
	AQ	5,724,521	Dedrick				
	AR	5,732,216	Logan				
	AS	5,734,720	Salganicoff				
	AT						

FOREIGN PATENT DOCUMENTS					
DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
AU	0 355 697	2/28/90	Europe		
AV	WO 99/37045	7/22/99	PCT		

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NO.  6000-005-52		SERIAL NO.  09/849,495	
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)				APPLICANT  Denis KHOO, et al.			
				FILING DATE  May 4, 2001		Group Art Unit  3622	




U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	5,761,683	6/1998	Logan			
	AB	5,781,909	7/1998	Logan			
	AC	5,802,299	9/1998	Logan			
	AD	5,892,536	4/1999	Logan			
	AE	5,931,901	08/1999	Wolfe			
	AF	5,986,692	11/1999	Logan			
	AG	6,026,376	2/2000	Kenney			
	AH	6,057,872	5/2000	Candelore			
	AI	6,088,455	8/2000	Logan			
	AJ	6,101,483	8/2000	Petrovich			
	AK	6,131,087	10/2000	Luke			
	AL	6,199,073	3/2001	Logan			
	AM	6,237,145	5/2001	Narashimhan			
	AN	6,246,997	6/2001	Cybul			
	AO	6,324,338	11/2001	Wood			
	AP	6,324,519	11/2001	Eldering			
	AQ	6,366,100	1/2002	Yamada			
	AR	6,386,450	5/2002	Ogasawara			
	AS	6,457,010	9/2002	Eldering			
	AT						

FOREIGN PATENT DOCUMENTS					
DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
AU					

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NO.  6000-005-52		SERIAL NO.  09/849,495	
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)				APPLICANT  Denis KHOO, et al.			
				FILING DATE  May 4, 2001		GROUP ART UNIT  3622	



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,484,146	11/2002	Day			
	AB	6,526,577	2/03	Knudsen			
	AC	6,571,279	05/2003	Herz et al.			
	AD	6,571,279	5/2003	Herz			
	AE	6,571,390	5/2003	Dunn			
	AF	6,668,377	12/2003	Dunn			
	AG						
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	AT						

FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO
	AU				

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

DOCKET NO.: 6000-005-52 (IND 105)

In re Patent Application of: Denis K. HCO, et al.

Serial No.: 09/849,495

Group Art Unit: 3622

Filed: May 4, 2001

Examiner: Le, Khanh

For: METHOD AND SYSTEM FOR PROVIDING CONTENT WITH AN OPTION



### LIST OF RELATED CASES

Docket Number	Serial or Patent Number	Filing or Issue Date	Status
6000-001-52	6,735,778	May 11, 2004	Patented
6000-002-52	10/738,991	December 19, 2003	Published
6000-003-52	10/704,282	November 7, 2003	Pending
6000-004-52	10/112,519	March 29, 2002	Pending
6000-005-52	09/849,495	May 4, 2001	Pending
6000-006-52	6,721,955	April 13, 2004	Patented
6000-007-52	10/206,393	July 26, 2002	Pending
6000-008-52	10/206,902	July 16, 2002	Abandoned
6000-009-52	10/645,157	August 21, 2003	Pending
6000-010-52	6,434,747	August 13, 2002	Patented
6000-011-52	09/750,530	December 28, 2000	Pending
6000-012-52	10/112,364	March 29, 2002	Abandoned
6000-013-52	09/625,832	July 26, 2000	Pending
6000-015-52	10/659,080	November 7, 2003	Pending

The cases listed on this Notice of Related Cases include cases which may contain information that is material to patentability. The listing of a case on this Notice should not be taken as an indication or admission that any information contained therein is material. Prior art for each case listed on this Notice may have been cited. **The files corresponding to the listed cases, which are available to the Examiner, may not have not been examined to ascertain the materiality of any prior art therein.** Accordingly, the Examiner is requested to review the file for each case listed on this Notice in order to assess the materiality of such prior art.

Respectfully submitted,

PIPER RUDNICK LLP

A handwritten signature in black ink, appearing to read "Lisa K. Norton", written over a horizontal line.

Lisa K. Norton  
Reg. No. 44,977  
Attorney of Record

P.O. Box 9271  
Reston, VA 20195  
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Fax (703) 773-5000

Dale S. Lazar  
Reg. No. 28,872  
Attorney of Record

Docket Number: 273201-0281659

Client Reference: IND-106



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

DENIS KHOO, et al.

Group Art Unit: 3622

Application No.: 09/849,495

Examiner: Ms. Khanh H. Le

Filed: May 4, 2001

Confirmation No.: 8842

For: METHOD AND SYSTEM FOR PROVIDING CONTENT WITH AN OPTION

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the references have been considered, per MPEP § 609.

This Information Disclosure Statement is being filed with a Request for Continued Examination. No certification or fee is required.

Respectfully Submitted,

Dale S. Lazar  
Registration Number 28872  
Customer Number: 00909

Date: March 15, 2004  
PILLSBURY WINTHROP LLP  
Telephone: (703) 905-2000  
Facsimile: (703) 905-2500  
P.O. Box 10500  
McLean, VA 22102  
DSL/ml

Dkt. No.

281659

IND 106

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**



Applicant: KHOO et al.

Appln. No.: 09/849,495

Filing Date: May 4, 2001

Date: March 15, 2004

Page

1

of

1

Examiner: K. Le

Group Art Unit: 3622

**U.S. PATENT DOCUMENTS**

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR 5,155,591	10/1992	Wachob			
	BR 5,861,906	01/1999	Dunn			
	CR 5,801,747	09/1998	Bedard			
	DR 6,020,912	02/2000	DeLang			
	ER 6,216,129	04/2001	Eldering			
	FR 6,477,704	11/2002	Cremia			
	GR					
	HR					
	IR					
	JR					
	KR					

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date MM/YYYY	Country	Inventor Name		English Abstract		Translation Readily Available	
							Enclosed	No	Enclose	No
	LR									
	MR									
	NR									
	OR									
	PR									
	QR									
	RR									
	SR									
	TR									
	UR									

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

	VR				
	WR				
	XR				
	YR				
	ZR				
	AAR				

Examiner

Date Considered:

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



Attorney Ref.: IND-106




PATENT

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on the date indicated below.

Date: October 31, 2002

  
James W. Jakobsen, Reg. No. 38,505

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Denis KHOO, et al.

Serial No.: 09/849,495

Filed: May 4, 2001

For: **METHOD AND SYSTEM FOR  
PROVIDING CONTENT WITH  
AN OPTION**

Examiner: (To Be Assigned)

Group Art Unit: 2162

Commissioner of Patents  
Washington, D.C. 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
IN ACCORDANCE WITH 37 C.F.R. § 1.97(b)**

Sir/Madam:

In accordance with 37 C.F.R. §1.56, the materials listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application.

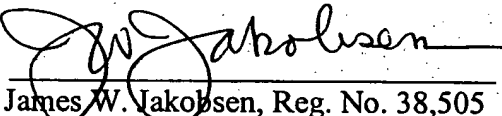
The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the above-identified application or date of entry into the national

stage of an international application related thereto, or, to the best of applicants' knowledge, before the mailing date of the first Office Action on the merits, whichever occurs last.

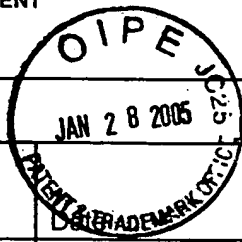
Also submitted herewith is an International Search Report for related International patent application PCT/US01/01115. The relevance of each reference according to the Authorized Officer is indicated in the Report.

It is respectfully requested that the Examiner return a copy of the attached form PTO-1449 with initials or other appropriate marks indicating consideration of the cited materials.

Respectfully submitted,  
PILLSBURY WINTHROP LLP  
Attorneys for Applicants

By:   
James W. Jakobsen, Reg. No. 38,505  
1600 Tysons Boulevard  
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Tel.: (203) 965-8271  
Fax: (914) 407-1597

<b>FORM PTO-1449 (Modified)</b>  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  Page 1 of 1	ATTY. DOCKET NO.: IND-106	SERIAL NO.: 09/849,495
	APPLICANT: KHOO, et al.	
	FILING DATE: May 4, 2001	GROUP: 2162



### U.S. PATENT DOCUMENTS

Exam Init	Ref Des	Document No.	Date	Name	Class	Sub Class	FILING DATE If Appropriate
	1.	2001/0003845	June 14, 2001	Tsakamoto, et al.			
	2.	5,532,735	July 2, 1996	Blahut, et al.			
	3.	5,621,456	April 15, 1997	Florin, et al.			
	4.	5,745,710	April 28, 1998	Clanton, et al.			
	5.	5,758,259	May 26, 1998	Lawler			
	6.	5,838,314	Nov. 17, 1998	Neel, et al.			
	7.	5,848,396	Dec. 8, 1998	Gerace			
	8.	5,848,397	Dec. 8, 1998	Marsh, et al.			
	9.	5,861,881	January 19, 1999	Freeman, et al.			
	10.	5,532,735	July 2, 1996	Blahut, et al.			
	11.	6,088,722	July 11, 2000	Herz, et al.			
	12.	6,177,931	January 23, 2001	Alexander, et al.			
	13.	6,211,901	April 3, 2001	Imajima, et al.			

### FOREIGN PATENT DOCUMENTS

		Country & Doc. No.	Pub. Date		Class	Sub Class	Translation Yes No

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

\* Copy of reference provided with this IDS.